

Case Docket No. AVERA.13DVDV1DV

Date: June 23, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Godfrey, et al.

Appl. No.

10/613,798

Filed

July 2, 2003

For

ARYLPIPERAZINES HAVING

ACTIVITY AT THE

SEROTONIN 1_A RECEPTOR

Examiner

Dwayne C. Jones

Group Art Unit:

1614

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

June 23, 2004

(Date)

Rose M. Thiessen, Reg. No. 40,202

TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) A Supplemental Information Disclosure Statement.
- (X) A PTO Form 1449 with one (1) reference.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Rose M. Thiessen

Registration No. 40,202

Attorney of Record Customer No. 20,995

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Docket No.: AVERA.13DVDV1DV

INFORMATION DISCLOSURE STATEMENT

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Group Art Unit

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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing one (1) reference that is also enclosed.

This Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth in 37 C.F.R. § 1.97(c).

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated:

By:

Rose M. Thiessen

Registration No. 40,202

Attorney of Record

Customer No. 20,995

(619) 235-8550

 SHEET 1 OF 1

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY, DOCKET NO.	APPLICATION NO.
AVERA, 13DVDV1DV	10/613,798

JUN 2 5 2004 SUPPLEMENTAL TRADENIA (US INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICANT Godfrey, et al.

FILING DATE

July 2, 2003

GROUP 1614

(USE SEVERAL SHEETS IF NECESSARY)

U.S. PATENT DOCUMENTS EXAMINER DOCUMENT NUMBER DATE NAME CLASS SUBCLASS FILING DATE INITIAL (IF APPROPRIATE)

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLAS	CLASS	SUBCLASS	TRANSLATION	
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EXAMINER INITIAL		OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)				
	1	Office Action from co-pending Canadian Patent Application No. 2,315,227, dated April 19, 2004.				
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	1
EXAMINER	DATE CONSIDERED

*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.